

## Rollins College Rollins Scholarship Online

---

Faculty Handbooks

College and Faculty Governance

---

8-1-2014

# Faculty Handbook Section III - Arts and Sciences and College of Professional Studies Policies and Procedures - Updated 8/1/2014

All Faculty  
*Rollins College*

Follow this and additional works at: <http://scholarship.rollins.edu/handbooks>

---

### Recommended Citation

All Faculty, "Faculty Handbook Section III - Arts and Sciences and College of Professional Studies Policies and Procedures - Updated 8/1/2014" (2014). *Faculty Handbooks*. Paper 4.  
<http://scholarship.rollins.edu/handbooks/4>

This Article is brought to you for free and open access by the College and Faculty Governance at Rollins Scholarship Online. It has been accepted for inclusion in Faculty Handbooks by an authorized administrator of Rollins Scholarship Online. For more information, please contact [wzhang@rollins.edu](mailto:wzhang@rollins.edu).



**FACULTY OF ARTS & SCIENCES AND COLLEGE OF  
PROFESSIONAL STUDIES  
SECTION III-POLICIES & PROCEDURES**

**TABLE OF CONTENTS**

**A. ADMINISTRATION AND PRACTICES**

Arts & Sciences  
College of Professional Studies  
Hamilton Holt School  
Director of the Rollins College Conference (RCC)  
Director of the Honors Program  
Cornell Junior Faculty Teaching Release Program  
Department Chairs  
    Appointments/Responsibilities  
    Evaluation/Removal of  
Academic Program Coordinators  
Divisions of the College of Arts & Sciences

**B. ACADEMIC POLICIES**

Introduction  
Academic Integrity  
Academic Warning, Probation, Suspension, and Dismissal  
Academic Warning  
Advising  
Class Attendance  
Course Registration & Withdrawal  
Credit Hours and Level of Courses  
Final Examinations  
Grading  
Posthumous Degree  
Student Evaluation of Instruction

**C. FACULTY POLICIES AND PROCEDURES**

Absence from Campus  
Course Guidelines  
    New Course Approval  
    Syllabuses  
Faculty Evaluation Procedures  
Governance

- Lecturer
- Personal Record
- Professional Development
- Programs for Improvement of Teaching
- Special Awards
  - McKean Grant
  - Bornstein Award
  - Cornell Distinguished Teaching Award
  - Cornell Distinguished Service Award
  - Cornell Distinguished Faculty Awards
  - Arthur Vining Davis (AVD) Fellowships
- Sponsored Research
- Strategic Faculty Compensation
- Teaching Load/Employment
  - Overload Determination/Compensation
- Tenure, Statement of Policy
- Travel Policy

This section includes descriptions and practices that apply to the faculty of Arts and Sciences and the College of Professional Studies at Rollins. More information about these descriptions and practices can be found on the Rollins website.

## **A. ADMINISTRATION AND PRACTICES**

### **ARTS AND SCIENCES**

The dean of Arts & Sciences heads this school, which offers degrees that challenge students to think independently and creatively. Arts & Sciences fully immerses students into the arts, humanities, and physical and social sciences. The dean oversees a staff that includes an associate dean.

Arts & Sciences comprises four academic divisions: Humanities, Expressive Arts, Social Sciences, and Science and Mathematics.

<b>Humanities Division:</b>	English, Modern Languages and Literatures, Philosophy and Religion
<b>Expressive Arts Division:</b>	Art and Art History, Music, Theatre Arts and Dance, Physical Education, and Library Science
<b>Social Science Division:</b>	Anthropology, Economics, History, International Business, Political Science, Psychology, and Sociology. The Social Science Division also includes

the faculty teaching in the graduate programs in Counseling and Education.

**Science and Mathematics:** Biology, Chemistry, Environmental Studies, Mathematics and Computer Science, and Physics.

Division chairs, elected by the faculty of each division, are responsible for consulting the department chairs and faculty of their divisions in order to receive suggestions, criticism, and pertinent information concerning proposed changes in educational programs and policies. Divisions may develop their own guidelines and procedures for the selection and activities of division chairs, consistent with the bylaws and this *Handbook*.

## **THE COLLEGE OF PROFESSIONAL STUDIES**

Created in 2011, the College of Professional Studies (CPS) comprises three departments and is headed by a dean. The dean oversees a staff of professionals. CPS aims to instill in students a lifelong foundation for professional growth through the combination of traditional liberal arts courses with classes devoted to specialized topics and professional training.

## **HAMILTON HOLT SCHOOL**

The Rollins College Hamilton Holt School offers late afternoon and evening courses toward the degrees of Bachelor of Arts (B.A.) and the graduate programs of Master of Liberal Studies (M.L.S.), Master of Human Resources (M.H.R.), Master of Arts in Counseling (M.A.), Master of Education (M.Ed.), and Master of Arts in Teaching (M.A.T.) The Hamilton Holt School is administered by the Dean of the Hamilton Holt School, who reports to the Provost.

The Hamilton Holt School is a member of the Association for Continuing Higher Education. For additional information about policies and procedures in the Hamilton Holt School, consult the Hamilton Holt School Guidelines for Faculty. Faculty who wish to teach in the Hamilton Holt School should consult with the department chair, their Dean, and the Dean of the Hamilton Holt School. The Dean of the Hamilton Holt School is responsible for appointing faculty to teach in programs for the Hamilton Holt School.

## **DIRECTOR OF THE ROLLINS COLLEGE CONFERENCE (RCC)**

The Director oversees the RCC program, in which all first-year students and transfers engage. The RCC is a seminar class in which approximately 16 students meet with a faculty member to explore a topic in the professor's area of expertise. Professors are drawn from the full range of academic disciplines. Peer mentors, integral to the RCC, assist the instructors.

## **DIRECTOR OF THE HONORS PROGRAM**

Headed by a director, Rollins' Honors Program is designed for students who bring exceptional abilities and are looking for a heightened educational journey marked by a distinct core of interdisciplinary courses, team-taught honors seminars, significant independent research opportunities, and the chance to meet separately with distinguished visiting speakers and lecturers. The Honors Degree Program leads to a distinct and separate undergraduate degree, the *Artium Baccalaureus Honoris*.

## **CORNELL JUNIOR FACULTY TEACHING RELEASE PROGRAM**

The Cornell Junior Faculty Teaching Release Program is designed to offer tenure-track faculty an opportunity to focus on scholarly, creative, and/or professional activities at a critical time during their pre-tenure years. Candidates are eligible for the program when they have successfully completed mid-course review.

The program releases junior faculty from teaching two courses during the academic year after their mid-course review. The course releases may occur either in one term or distributed over two terms. Or, with the department's and Dean's approval, candidates may teach a 4/0 load and thus have a semester without teaching duties.

As the intent of this program is not to create a junior sabbatical, the candidate is expected to continue with on-going service such as advising, committee work, or other departmental obligations. However, as the focus of this release time is a candidate's professional and scholarly development, the department is expected to help protect the candidate's time against unreasonable demands.

### *Implementation Process*

Both the tenure candidate and his/her department chair share responsibility for actualizing a workable course-release plan. The following procedure provides guidelines for this process:

1. Candidate and department chair receive notification from the appropriate Dean indicating successful completion of mid-course review.
2. Candidate and chair meet to discuss possible implementation strategies for the course releases. Topics to be resolved include specific courses, terms, student needs, departmental duties, etc.
3. Chair submits letter to the Dean indicating strategy to cover course releases accompanied by the candidate's brief description of his/her action plan for the release time (maximum of three pages).
4. Dean approves department's staffing plan.

5. Chair initiates necessary scheduling and staffing adjustments and reallocations.
6. Candidate takes course release as per action plan.
7. Candidate addresses the outcome of course-release activity in his/her tenure evaluation file.

## **DEPARTMENT CHAIRS**

### **Appointments**

The appointment of department chairs is made by the respective Dean after consultation with the faculty members of the respective departments. The Dean issues letters of appointment and confirmation of reappointment annually, normally in late spring. The standard term of appointment is twelve months, coinciding with the fiscal year. As compensation, department chairs may choose to receive annually either:

- a four-semester-hour reduction in the normal teaching load, or
- a salary supplement based on the following formula: \$2,000 plus \$100 for each full-time employee over the base number of five in his/her department.

A full-time employee is defined as one of the following: regular faculty, lecturer, technical staff, secretary, or administrative staff.

### **Responsibilities and Authority of Department Chairs**

Chairing an academic department is an administrative responsibility requiring faculty leadership. A department chair reports both to his or her faculty colleagues and to the Dean. The chief task is the development and maintenance of a coherent and effective curriculum, reflecting both the traditional approaches and contemporary developments in the field of study. In addition, a department chair is responsible for (and has authority commensurate with) the competent and efficient conduct of, and leadership in, the following departmental affairs:

1. Submitting and supervising departmental budgets and administering expenditures of departmental funds as required and where appropriate.
2. Recommending equipment and supplies for purchase, projecting space and equipment needs for the department, and exercising general responsibility for departmental facilities and equipment.
3. Preparing descriptions of majors and courses and other departmentally related copy for College publications, such as catalogues and promotional brochures.

4. Recommending major and minor requirements to the appropriate faculty committee, and determining departmental course offerings, class meeting schedules and special programs, after consultation with faculty and in accordance with guidelines issued by the Dean; approving course offerings, class schedules, special course descriptions and staffing for departmentally-related courses in the Hamilton Holt School, after consultation with faculty.
5. Encouraging faculty research, writing, and creative activity.
6. Encouraging effective classroom teaching, including the application of both innovative and conventional teaching techniques.
7. Evaluating non-tenured and tenured full-time faculty, lecturers, and adjunct faculty, based on consultation throughout the year, through duly appointed evaluation committees, which operate according to prescribed procedures and guidelines; see Responsibilities of Department Chairs (Faculty Evaluation Process) in this *Handbook*.
8. Recommending to the Dean tenure, promotion, and increases in salary, in accordance with the guidelines, procedures, and vehicles set forth in the *Handbook* and *Bylaws*.
9. Conducting regular and special departmental meetings as may be required, consistent with democratic procedures, sound management, and the academic responsibility and freedom of the members of the department.
10. Supervising and training student assistants, and administering student assistants' programs (where appropriate); submitting requests for student assistants; supervising and training office personnel and departmentally related staff.
11. Advising the officers of administration of the College, heads of other departments, heads or Directors of other administrative units of the College, and members of standing and special committees, as needed or requested.
12. Assisting with the active recruitment of students and with the identification of scholarship recipients, as arranged by the Dean of Enrollment Management.
13. Encouraging, aiding, or writing, when requested or needed, research proposals, grant proposals, and other requests for sponsorship generated within the department; approving such proposals before submission to the Dean.
14. Arranging for departmental approval of independent studies, including tutorials, research projects, and internships.

15. Establishing and supervising the program of academic advisement for majors and minors in that department; encouraging alert and effective academic advisement; disseminating information to students about opportunities for graduate study, foreign study, fellowships and job opportunities; approving the use of transfer credit to satisfy departmental requirements.
16. Encouraging a stimulating intellectual climate for students and faculty in the discipline through such programs as lectures, clubs, attendance at professional meetings and conferences, special colloquia and seminars, and presentations of research work.
17. Providing advisory assistance in staffing interdisciplinary courses, area studies programs, and new curricular offerings.
18. Determining departmental library needs and consulting with the Director of Libraries on allocation policies, book orders, and the development of special libraries; determining departmental computer needs and consulting with the Director of Computer Services regarding hardware and software needs and the training of the staff.
19. Assisting in the staffing of the department: coordinating the activities of searches, including requesting positions, developing position descriptions and advertisements, screening applicants, corresponding with candidates, selecting invited candidates, scheduling interviews, and nominating candidates to the Provost, all in compliance with equal opportunity guidelines and the personnel policies of the College.
20. Determining, upon request, allocation of special funds made available to the department for distribution to faculty and students (e.g. grant funds).
21. Assisting the Assistant Vice President for Alumni Programs in identifying distinguished graduates.
22. Maintaining open communications within the department about its affairs, especially concerning such sensitive matters as teaching loads and faculty evaluation; disseminating information to department members regarding special professional opportunities, administrative decisions and policy changes.
23. Carrying out assessment activities and periodic review of the department for accreditation and other purposes as required.
24. Overseeing the implementation of the final examination policy.



## **Evaluation of Department Chairs**

Department chairs are evaluated by their dean, using departmental evaluations, those of other faculty and department chairs, and independent judgment. Evaluation as chair is a process independent from faculty evaluation, and conclusions in one area need not affect conclusions in the other.

Evaluation is conducted according to the following criteria:

1. Performance of administrative duties normally assumed and assigned, including those listed above.
2. Skill in promoting effective teaching and advising within the department, sound curricula for majors and minors, and new programs which lead to increased academic strength.
3. Ability to function constructively in an administrative capacity with faculty in the department, with the officers of administration, with other department and division chairs, and with other institutional departments when required.

## **Removal of Departmental Chairs**

The chair of a department may be removed for cause when such action is in the best interest of the College and the department. Such action is initiated by the Dean after consultation with appropriate faculty or by the President of the College. Faculty within a department may petition the Dean for the removal of a department chair. The normal appellate channels and procedures apply, and the Dean must ensure due process in such decisions.

## **ACADEMIC PROGRAM COORDINATORS**

### **Appointments**

The appointment of program coordinators is made by the Dean after consultation with faculty members of the respective departments. The Dean issues letters of appointment and confirmation of reappointment annually, normally in the late spring. The term of the appointment is determined by the needs of the program. Compensation for program coordinators is normally \$1,000.

### **Responsibilities and Authority of Program Coordinators**

Chairing an academic program is an administrative responsibility requiring faculty leadership. A coordinator reports to faculty colleagues and to the Dean. The chief task is

the development and maintenance of a coherent and effective curriculum which reflects both traditional approaches and contemporary developments in the field of study.

For a complete description of the responsibilities of a coordinator, please refer to the list of responsibilities assigned to department chairs.

## **B. ARTS AND SCIENCES AND COLLEGE OF PROFESSIONAL STUDIES ACADEMIC POLICIES**

### **INTRODUCTION**

The following policies and procedures describe the basic obligations and responsibilities of faculty, students and administrators at Rollins with respect to the traditional undergraduate programs of the College. For information on curriculum, degree requirements, general education requirements and advising, please consult the *Rollins Catalogue*.

### **ACADEMIC INTEGRITY**

The students and faculty of Rollins affirm the inherent value and social utility of truthfulness and respect for the rights of other individuals as well as the rights of the community.

The students and faculty particularly affirm the value of academic honesty and accept the responsibility to present as the result of their work only that which is genuinely theirs. Rollins students and faculty shall neither commit nor tolerate cheating, plagiarism, or any other form of academic dishonesty. Academic dishonesty is defined as representing another's work as one's own, active complicity in such falsification, or violation of test conditions. Plagiarism is stealing and using the ideas or writings of another as one's own. (See the Academic Honor Code for specific violations and their definitions.)

In all cases of suspected academic dishonesty, the College follows the procedures outlined in the Academic Honor Code passed by the faculty.

Because academic integrity is fundamental to the pursuit of knowledge and truth and is the heart of the academic life of Rollins College, it is the responsibility of all members of the College community to practice it and to report apparent violations. All students, faculty, and staff are required to report violations in writing to the Academic Honor Council for disposition. Referrals will be made through the Dean of Arts & Sciences' office.

1. If a faculty member has a reason to believe that a violation of the Academic Honor Code has occurred, he/she may have an initial meeting with the student to determine if a violation has occurred. If the faculty member

believes that a violation has occurred, he/she is required to report it. This initial meeting is to clarify if a violation has occurred and not to determine if a known violation is to be reported.

2. If a student has reason to believe that a violation of academic integrity has occurred, he/she is required to report it to the Academic Honor Council. The student who has witnessed a violation can, but is not required to, encourage the student suspected of the violation to self-report. If the student refuses to self-report, then the student who witnessed the violation must report it to the Academic Honor Council.
3. Staff members who believe they have witnessed a violation must refer the case to the Academic Honor Council for disposition.

Complaints must be made in writing and filed through the Office of the Dean. These complaints are then forwarded to the Academic Honor Council. Allegations must be submitted in writing within ten days of the discovery of the alleged violation. Complaints against graduating seniors must be submitted by the date senior grades are due to allow time for an investigation before graduation. The complaint should indicate all relevant details, including names of witnesses, and must be signed. Submissions may also be made online.

## **ACADEMIC WARNING, PROBATION, SUSPENSION, AND DISMISSAL**

### **Academic Standing**

All students must maintain a cumulative Grade Point Average of at least 2.00 and a single term Grade Point Average of at least 2.00 to be in good academic standing.

### **Academic Warning, Probation, Suspension, and Dismissal**

Students who fail to meet minimum academic standards at the end of any term are placed on academic warning or probation, academic suspension, or are dismissed permanently from the College. Students on academic warning or probation are required to enroll in a minimum academic load of twelve (12) semester hours and may not normally withdraw from a course nor take a course on a credit/no-credit basis.

### **Minimum Academic Standards**

Students who maintain a cumulative Grade Point Average (GPA) of at least 2.00, but whose previous term GPA falls below 2.00 but above 1.25, will be placed on Academic Warning. Any student whose cumulative Grade Point Average (GPA) falls below 2.00, or whose previous term GPA falls at or below 1.25, will be placed on probation. For the purpose of determining academic standing, Maymester will not be considered a “term.”

<b>A student with</b>	<b>Cum below 2.0</b>	<b>Cum above 2.0</b>
<b>Term below 1.25</b>	probation	probation
<b>Term above 2.0</b>	probation	good standing

<b>Term between 1.25 and 2.0</b>	probation	warning
----------------------------------	-----------	---------

Students placed on probation will be asked to adhere to the General Requirements for Probation. Probationary students must complete a Contract for Academic Success that specifies an individualized plan for returning to good academic standing and an agreement to abide by all probationary regulations, including enrollment in a specialized course focused on academic success. Failure to follow the terms of the Contract will result in a student's immediate dismissal, even during the course of the term in progress.

Students who fall within any of the following categories will be academically dismissed from the College.

- Those who have been on probation and do not meet the minimum academic standards the following term.
- Those on probation who fail to follow the guidelines of the Contract for Academic Success.
- Those who have been on probation two non-consecutive semesters and fail to meet the minimum academic standards the third time.

An exception is made for first-year students. First-year students will be academically suspended from the College after their first semester if their GPA falls below 1.25. If these students would like to be considered for continuation for a second semester, they should appeal their academic suspension. If their appeal is accepted and they are continued in the Spring, these students will be required to attain a second semester GPA designated by the Academic Appeals Committee in order to enroll the following Fall.

Students who are academically suspended from the College are not permitted to continue in any Rollins College programs, including the Hamilton Holt School. **No academic credit earned during a suspension will be transferable back to Rollins.**

Students who have been academically suspended a first time may petition for readmission by writing a letter to the Academic Appeals Committee of the Office of the Dean after one (1) calendar year has elapsed. To be considered for readmission from academic suspension, students must demonstrate readiness to return and improved commitment to scholarship.

Students who are academically suspended a second time are dismissed permanently from the College and may not subsequently enroll in any program.

## **ACADEMIC WARNING SYSTEM**

Faculty complete academic warning forms for students who are performing at unsatisfactory levels in their courses during weeks four (4) to twelve (12) of each term. Unsatisfactory academic performance includes poor attendance, lack of participation, failure to complete assignments on time, poor test and quiz grades, poor quality of written

work, studio work, or laboratory work, or an estimated grade of 'C-' or lower in the course. The withdrawal without penalty deadline occurs in the tenth week of each semester; students may exercise their one-time late CR/NC option through 5 p.m. on the last day of classes each term.

Academic warnings are sent via campus e-mail to the student, faculty advisor, and professional advisors in the Thomas P. Johnson Student Resource Center. Students receiving warnings are directed to meet with the professor of the course, as well as their faculty advisor, to discuss issues of concern, strategies for improvement, and other options including withdrawal from courses or exercise of the late Credit/No Credit (CR/NC) option. In addition to these interventions, the Academic Advising Services staff also contacts students when they have been referred to the Tutoring and/or the Writing Center, or if they are deemed academically “at risk” for other reasons (multiple academic warnings, students with learning disabilities, and those on academic probation).

## **ADVISING**

Academic advising is an important aspect of each faculty member's service to the College. Beginning in the second year of teaching at Rollins, all full-time faculty teaching credit-bearing courses participate in the academic advising program on a regular basis. Other members of the faculty (e.g. library faculty and College administrators) may be asked to advise students as well. Good advising requires an understanding of the Rollins curriculum and support services, familiarity with appropriate graduate programs and reasonable availability for consultation with advisees. The College catalogue details necessary information about the academic program, College policies, and requirements in each major area.

All first-year students are assigned a faculty academic adviser and a student peer adviser by a team of selected faculty and administrators. This adviser teaches their RCC course. Transfer students are assigned faculty advisers. Whenever possible, students are assigned to advisers in their expressed area of academic interest. Department chairs assign advisees in the major to faculty with reasonable regard for equity in numbers. Students choose a major and arrange to become the advisee of a faculty member in the major department or program no later than the end of their sophomore year. The student is responsible for informing the Office of Student Records of any change in adviser by submitting a form signed by the new adviser. The form is sent to the first adviser, who then forwards all records to the new adviser.

## **CLASS ATTENDANCE**

It is the responsibility of the faculty to publish attendance policies for their courses in their course syllabuses. Any distinction between "excused" absences and "unexcused" absences must be conveyed in the attendance policy. At the instructor's discretion, a student's grade may be lowered for failure to comply with the attendance policy.

Exceptions exist for absences owing to religious observances and college business. If a student misses a class because of either situation, then the student must confer with his or her professor as to how and when the make-up work will be done, which includes the possibility of turning work in early. Absences will be addressed by the faculty member in accordance with his or her attendance policy. A student will not fail a course because the number of religious observances and/or college business absences exceed the number of absences allowed, except if excessive absences make it impossible to fulfill the competencies of the course. The student's class participation grade in the course, though, may be affected.

- In regard to absences due to religious observances, a student must communicate any attendance conflicts to his or her professor before the end of the official add/drop period.
- In regard to absences due to college business, a student must present to his or her professor written evidence of an upcoming absence as soon as he or she is aware of the conflict. It is the student's responsibility to discuss with his or her professor how and when makeup work should be completed before missing class.

If the student feels he or she must be absent from class for any other reason, it is the student's responsibility to confer with the faculty member to determine whether the absence is to be considered "excused" or "unexcused" as defined by the attendance policies. The Office of the Dean of Student Affairs will communicate when a student must be absent from campus for hospitalization, family emergencies, or similar contingencies. Students will be responsible for all work missed.

*[Attendance Policy passed by the A&S Faculty (10/29/11)- Effective Spring 2012 - Posted 11/30/11]*

## **COURSE REGISTRATION AND WITHDRAWAL**

Each academic department and program submits to the Office of Student Records its proposed course schedules for each term. The schedules must balance requirements and electives, courses for majors and non-majors, upper-level and lower-level courses and the time and day of courses. Proposals to offer courses cross-listed between departments must be endorsed by the chairs of both departments. Faculty are required to notify the Office of Student Records of any corrections to their course rosters no later than the end of the schedule change (add-drop) period. Faculty post all final, official grades by published deadlines at the conclusion of each academic term.

Any student who fails to attend the first class meeting of the term may be replaced by another student. Students may add and drop during the first week of class in the fall and spring terms and may continue to drop courses through the second week without a notation appearing on the transcript. With the approval of the adviser, students may withdraw from a course through Friday of the week following mid-term with a "W" recorded on the transcript. It is the responsibility of the student to seek from the

instructor a report on his/her standing in class prior to the final date for withdrawal from courses. It is the responsibility of the instructor to provide the student with some form of graded evaluation of his/her standing in a class prior to the final date for withdrawal from courses. A student may not withdraw from a course after the deadline (Friday of the week following mid-term) except by decision of the academic appeals committee. A student who abandons a course after the deadline will receive a grade of "XF."

## **CREDIT HOURS AND LEVEL OF COURSES**

Most courses that meet a minimum of 150 minutes a week for up to 13 weeks and include an additional exam period earn four semester units of credit. Non-laboratory courses that meet a fourth or a fifth hour a week earn a maximum of five credit units. Laboratory courses earn up to five or six credit units depending on the length of the laboratories (two or four hours). Studio and performance courses generally earn two to three credit units. Credit units for short-term courses of variable length are awarded based on the following calculations: each unit of credit requires a minimum of 8.125 contact hours per term.

As part of course requirements, Rollins faculty expect students to spend considerable time outside of class each week working on course-related activities. Students should expect to spend three hours out of class for every hour in class.

The credit hours and levels of courses are determined by departments and are reviewed and approved by the appropriate governance committee.

## **FINAL EXAMINATIONS**

The last week of classes in the fall and spring terms is reserved for final examinations. All courses include a final examination, with possible exceptions for performance, writing, independent study, or seminar courses where other means of evaluation are more appropriate.

The final examination **must** be offered in the time period scheduled in the examination matrix prepared by the Office of Student Records, unless the Dean and the Provost have approved a change. An alternative period for unusual examination procedures, such as an oral examination, may be used provided the students involved do not thereby encounter conflicts with other scheduled examinations.

Tests or examinations may be offered through the penultimate week of classes but must not be employed in lieu of a final examination. Final papers, research reports and other similar assignments, except those in lieu of a final examination, should be due before examinations begin to help students avoid conflicts.

If a student has more than two final examinations scheduled in one day, s/he has the right to reschedule one examination to an open date within the final examination period.

Arrangements will be made through the Dean of Students or program Dean in consultation with the faculty members involved.

Department chairs are responsible for overseeing the implementation of the final examination policy.

## **GRADING**

### **Letter Grades**

Student grade reports are based on the following definitions:

Grade 'A' is reserved for work that is exceptional in quality, and shows keen insight, understanding, and initiative.

Grade 'B' is given for work that is consistently superior and shows interest, effort, or originality.

Grade 'C' is a respectable grade. A 'C' average (2.00) is required for graduation. It reflects consistent daily preparation and satisfactory completion of all work required.

Grade 'D-' is the lowest passing grade. It is below the average necessary to meet graduation requirements and ordinarily is not accepted for transfer by other institutions.

Grade 'F' is failing.

Students' cumulative grade point averages are based on a four-point scale. Letter grades are assigned the following approximate numerical equivalents:

Grade A	4.00 quality pts	Grade C-	1.67 quality pts
Grade A-	3.67 quality pts	Grade D+	1.33 quality pts
Grade B+	3.33 quality pts	Grade D	1.00 quality pts
Grade B	3.00 quality pts	Grade D-	.67 quality pts
Grade B-	2.67 quality pts	Grade F	0 quality pts
Grade C+	2.33 quality pts	Grade WF	0 quality pts
Grade C	2.00 quality pts		

### **Incomplete**

A mark of 'I,' indicating that the work of a course is incomplete, may be assigned only when serious and compelling circumstances beyond the control of the student -- such as illness or necessary absence from the campus -- have made it impossible for the student to complete the course work within the normal period. Students are responsible for completing the Contract for an Incomplete Grade form available on the Student Records webpage <http://r-net.rollins.edu/studentrecords/Forms.html>. Students contracting for a mark of 'I' in the fall term must complete the course work no later than the end of the



second week of the succeeding spring term. Students contracting for an 'I' in the spring term must complete the work no later than the end of the second week of the succeeding fall term. Failure to complete the course in the designated time will result in a grade of 'F.'

Faculty may not assign a grade of 'I' without the Contract for an Incomplete Grade, nor may a faculty member not assign a grade, a de facto Incomplete. Faculty who fail to submit a grade for a student by the deadline set by the College will be penalized at the discretion of the Dean in consultation with the Provost.

### **Credit/No Credit**

Students who wish to take a course on a credit/no-credit (CR/NC) basis rather than for a letter grade must complete the appropriate form, available on the Student Records webpage <http://r-net.rollins.edu/studentrecords/Forms.html> , no later than ten (10) class days after the beginning of the fall or spring terms. Courses normally offered for a letter grade, in which the student has elected to change to a CR/NC grade, may not be used to fulfill general education, major, minor, or concentration requirements.

If a grade of 'C-' or better is earned, a mark of 'CR' and the appropriate number of semester hours are granted. If a grade below a 'C-' is earned, the course is abandoned, or the course is withdrawn from after the penalty deadline, a mark of 'NC' is granted. In any case, the grade point average is not affected. Courses taken CR/NC may not be used to fulfill general education, major, minor, or concentration requirements. No more than one (1) letter-graded course per term may be declared credit/no-credit, and a maximum of four (4) such courses may count toward graduation. Courses normally graded as CR/NC (internships, information technology, and applied music, for example) do not count toward the per-term or graduation limits.

Once the CR/NC declaration is made for a given course in a given semester, students may not later request that the CR/NC be changed to a letter grade. In a subsequent semester, students may repeat a course graded CR/NC for a letter grade, but will not earn credit hours for the course a second time. (See the College's policy on repeated courses.)

An Academic Internship course, normally graded as CR/NC, may be used to fulfill major or minor requirements with the approval of the Department Chair/Internship Representative. Students should first check with their advisor to ensure they have satisfied all department prerequisites to participate in an internship for major or minor credit. Departments approving internships for major or minor credit should adhere to the minimum standards of the Academic Internship Program. To receive major or minor credit for an internship, the Academic Internship Program's Credit Approval Form should be signed by both the student's advisor and the Department Chair/Internship Representative. The Department Chair/Internship Representative should review the internship job description before approving the internship; they have the option of adding supplemental requirements in order for the student to receive credit. These additions must be communicated both to the student and the Director of Academic Internships prior to starting the internship.

Students who earn credit in the major or minor are typically registered for 4 semester hours. The Department Chair/Internship Representative who has approved major or minor credit should give the final grade approval of CR/NC to the Director of Academic Internships or the designated faculty member supervising the internship course. An internship that is declined for major or minor credit may still be approved for interdisciplinary credit that counts towards a student's general elective requirements.

### **One-Time Late Credit/No-Credit Declaration**

Students may exercise a one-time option of Late Credit/No Credit. In this option, students are permitted to declare a class Late Credit/No Credit up until the last scheduled day of classes and before the official exam period begins for the term in which they are enrolled. This option is available one time only and for one course during a student's career at Rollins.

If a grade of 'C-' or better is earned, a mark of 'CR' and the appropriate number of semester hours are granted. If a grade below a 'C-' is earned, the course is abandoned, or the course is withdrawn from after the penalty deadline, and a mark of 'NC' is granted. In any case, the grade point average is not affected.

Courses taken under this option may not be used to fulfill general education, major, minor, or concentration requirements. Courses with general education designations for which the CR/NC option is elected will not earn general education designations. Once the CR/NC declaration is made for a given course in a given semester, students may not later request that the CR/NC be changed to a letter grade. In a subsequent semester, students may repeat a course graded CR/NC for a letter grade, but will not earn credit hours for the course for a second time. (See the College's policy on repeated courses.)

### **Grade Appeals**

Students wishing to appeal a grade will first consult with the instructor to determine whether an error has been made or the instructor wishes to reconsider the grade. If this is the case, the instructor submits a grade change request to the Dean of Arts and Sciences/Dean of College of Professional Studies. If the student is dissatisfied with the results of that consultation and wishes to pursue the matter further, s/he will meet with the chair of the department, who in turn must inform the instructor of the substance of the student's appeal. The department chair acts as a mediator to attempt to resolve any disagreements and consults with the instructor about the grading process. Only the course instructor has the authority to change the grade at this point. (Should the instructor be the chair of the department, a tenured member of the department will be selected by the department to serve as mediator. If this is not possible, then the Dean of Arts and Sciences will serve in this capacity.)

Perceived or actual differences in grading policies or standards between instructors, which are not a violation of College policies, are not a basis for further appeal. Further appeals beyond the chair of the department will be allowed only when the student can furnish evidence that the final grade was affected by the student's opinion or conduct in

matters unrelated to academic standards, bias based upon matters unrelated to academic standards, or the failure of the instructor to follow his or her own stated policies or College policies. The Dean of Students, President of the Faculty, and chair of the faculty member's department (should the instructor be the chair of the department, a tenured member of the department or division will be selected by the department) must agree by two-thirds that it can be plausibly argued that these conditions are met in order for the appeal to proceed to the Academic Affairs Committee.

If these conditions are met, the student may proceed with the appeal to the Academic Affairs Committee by submitting a letter describing the situation to the Dean of Arts and Sciences. This appeal must be made within one (1) year of the conclusion of the course. The Dean will request from the department chair a written account of the mediation process described above and its results, if any. The Dean may also request any other appropriate documentation. The Dean prepares all documents related to the case for submission to the Academic Affairs Committee. These documents are made available to the student and instructor to review and respond. Their responses, if any, are included with the materials submitted to the Academic Affairs Committee. The Dean of Arts and Sciences shall receive the recommendation of the Academic Affairs Committee, review all documents, and make additional inquiries if necessary before reaching a decision. After such review, the decision of the Dean of Arts and Sciences is final.

### **POSTHUMOUS DEGREE [Note: the procedure applies to all colleges and schools at Rollins]**

In the case of the event of a student dying before finishing coursework at the College, a posthumous degree may be awarded if the student had completed a substantial amount of the coursework required for the major and degree.

#### **Procedure:**

A posthumous degree can be requested by either the student's family or the department in which the student was a major. The request must first be approved by the major department and then forwarded to the appropriate Dean. Upon approval of the Dean and Provost, the Board of Trustees will be asked for final approval.

If the request for the posthumous degree comes from the student's major, the Provost will first confer with the student's family to see if the request is acceptable before the approval process begins.

Upon approval of the Board of Trustees, the degree will either be mailed to the family or presented to them in a private ceremony. The student's name will be included in the Commencement list of graduates.

The degree will be posted on the student's permanent record as follows (example):

Degree Awarded  
Artium Baccalaureus 8-MAY-2011

Primary Degree  
Major: English  
Minor: Writing

*[Posthumous Degree Policy passed by A&S Faculty (11/17/2011) - Effective Immediately - Posted 11/30/11]*

## **STUDENT EVALUATION OF INSTRUCTION**

Near the end of each term, faculty solicit student responses to the Course and Instructor Evaluations (CIE) available online through FoxLink. Students receive electronic reminders to complete the evaluations. After the term has ended and grades have been assigned, instructors are encouraged to read the completed evaluations.

## **C. FACULTY POLICIES AND PROCEDURES**

The following policies and procedures apply to all full-time and part-time instructors in all undergraduate and graduate credit-bearing programs in Arts and Sciences and the College of Professional Studies.

## **PERSONAL RECORD**

All full-time and part-time instructors shall provide a complete record of their professional preparation and achievements, including official transcripts, research and original works, book reviews, critiques and articles published, music composed or arranged, paintings or drawings produced, and public performances of all types. Reprints of publications should be filed. This record will be brought up to date each year using the Faculty Self-Assessment Report form (FSAR.)

## **ABSENCE FROM CAMPUS**

Instructors shall notify the department chair and the appropriate Dean when they plan to be absent from the campus or when absence is unexpectedly necessary. This provision applies in case of illness or any absence involving more than one class. When possible, arrangements to cover classes should be made in advance.

## **COURSE GUIDELINES**

### **New Course Approval**

New courses proposed for fall or spring term should be described in detail on a "New Course Approval" form available in the Office of Student Records. After initial departmental approval, the appropriate committee reviews all new course proposals and makes recommendations to the department and the Dean. Courses to be approved for a given academic year must be submitted no later than February of the preceding academic year.

## **Syllabuses**

Instructors are expected to develop course outlines that explain learning outcomes, course objectives, requirements, methods of evaluation and attendance and grading policies. **At the beginning of each term, instructors are required to submit copies of syllabuses to their respective academic department's** administrative assistants. It will be the responsibility of each Dean to ensure that collection of syllabuses occurs for their respective programs. These syllabuses should be retained for three years. It will then remain the responsibility of each Dean to ensure that, after three years' retention, syllabuses are transmitted to Archives according to the *Recommended Collection Guidelines for the Rollins College Archives* established December 13, 2000 or as amended. Departments and programs must also keep files of the syllabuses of their own courses for **three years**. Some syllabuses may be available online.

## **FACULTY EVALUATION PROCESS (Note: faculty shall also consult their departments, college or school bylaws, and All-Faculty bylaws.)**

The quality of the educational experience at Rollins College depends very heavily upon the quality of the faculty. It follows, therefore, that the institution must have an effective system for the evaluation of faculty for reappointment, promotion, and tenure. The system should serve the long-term needs of the institution while also promoting the professional development and improvement of individual faculty. The criteria and procedures of the *Bylaws* provide the structure and symmetry of an evaluation system. The effectiveness of the system, however, depends primarily on the seriousness of purpose and preparation which faculty bring to it. The guidelines and descriptions of responsibilities delineated below are intended to assist faculty in preparing effectively for evaluations.

### **Responsibilities of the Dean**

1. The appropriate Dean is responsible for the timely notification of candidates either for their evaluation for reappointment or for their eligibility to be evaluated for tenure and/or promotion. Notification shall be given no later than April for evaluations in the following fall semester.
2. The Dean is responsible for maintaining the records and files which are appropriate for use in reviewing the performance of a faculty member being evaluated for reappointment, tenure, and/or promotion. The Dean shall also insure the appropriate level of consistency and clarity in evaluations by requiring that candidates submit evidence of their work, personal assessment statements, *curriculum vitae*, and course syllabuses in a standard form.

3. The Dean is responsible for conferring with candidates and working with department heads to assure that all participants are appropriately prepared before the formal meeting of an evaluation committee. It is particularly important to assure that candidates and department chairs communicate clearly about whether or not satisfactory progress is being made toward reappointment, tenure and/or promotion.

### **Responsibilities of Department Chairs**

1. The department chair should, on a regular basis, examine the course syllabuses, student evaluations, and other materials relevant to the evaluation of faculty who are eligible for reappointment, tenure, and promotion.
2. The department chair should consult with other faculty about the progress of candidate faculty. The chair should share the appropriate information (s)he acquires through these consultations with the candidate well in advance of any formal evaluation procedures.
3. Department chairs are responsible for conferring regularly with candidates for the purposes of discussing their professional development and progress toward reappointment, tenure, and promotion. In a system of peer evaluation all faculty bear a responsibility for conferring with candidate faculty. Department chairs bear a special and formal responsibility.
4. Department chairs should observe candidate faculty teach. The chair will determine the appropriate nature and frequency of observation based upon the presumptive evidence of a candidate's effectiveness in teaching. Candidate faculty should be consulted well in advance of such class observations.
5. The department chair should work with candidate faculty in preparing professional assessment statements and other documents appropriate for use in an evaluation.
6. Department chairs are responsible for collecting the materials necessary for the evaluation of candidate faculty and making them available to the evaluation committee in a timely manner.

### **Responsibilities of Evaluation Committee Members**

1. All members of the department evaluation committee should review the departmental criteria for reappointment, tenure, and promotion, applicable school or college bylaws, this *Handbook*, and the *Bylaws* of the College.
2. Members of the department evaluation committees should attempt to familiarize themselves with the professional record of candidate faculty as early as possible.

3. Evaluation committee members should examine carefully the record of the candidate faculty and the appropriate materials. These examinations should occur well in advance of the formal meeting of the evaluation committee.
4. If committee members develop any concerns about the candidate faculty's performance or progress toward reappointment, tenure, or promotion, they shall inform the candidate in a timely manner and discuss their concerns with the candidate.
5. Committee members may observe the classroom performance of a candidate faculty. The member(s) should always seek the permission of the candidate to observe classes.

### **Responsibilities of the Candidate Faculty**

1. Read carefully those sections of the *Bylaws* pertaining to evaluation. Also, read the criteria of the department, applicable school or college bylaws, and this *Handbook*.
2. Consult with the department chair and other colleagues to insure their familiarity with your performance and your familiarity with their expectations and impressions of your performance.
3. Prepare a professional assessment statement as required by the *Bylaws*. Confer with the department chair and Dean about the format and contents of this statement.
4. Consult with the department chair and Dean to assure that the appropriate materials are prepared in the appropriate format for the evaluation committee.

### **LECTURER**

The position of Lecturer is a full-time but not a tenure-track position. Appointments are granted by the Provost.

### **GOVERNANCE**

The faculty delegate certain responsibilities to standing committees. Faculty are expected to participate in governance and to attend faculty meetings.

### **PROGRAMS FOR IMPROVEMENT OF TEACHING AND ASSESSMENT AT ROLLINS COLLEGE**

The following program is a recommendation based upon best practices for the comprehensive evaluation of teaching at Rollins.

There are two separate and distinct approaches to assess teaching:

1. **Summative assessment.** The purpose is to assess whether a faculty member has reached a certain bar. This is the predominant style used in assessing a candidate for tenure and promotion.
2. **Formative assessment.** The purpose is designed to help the teacher improve his/her teaching, rather than rating the teacher's performance. Records of formative assessment are not kept or used in tenure or promotion purposes.

While Assistant and Associate professors must have summative assessments for promotion and tenure, all faculty may request a formative assessment at any time for their professional development. The formative evaluation process would be confidential and used for the development and improvement of the faculty member's teaching.

### **Recommended Principles for Summative Evaluation of Teaching**

Teaching should be judged for quality, not quantity; that is, teachers should be assessed for the quality of their teaching rather than for what and where they teach. For example, teaching RCC courses, study abroad courses, or overloads does not necessarily indicate excellence in teaching. In line with the mission of the institution, teachers should be evaluated on content, pedagogy, student engagement, professionalism, and other factors relevant to learning outcomes.

#### **Summative Evaluation of Teaching**

1. **Peer Review** is an evaluative process by colleagues or peers designed to examine a wide range of teaching-related activities. Components of Peer Review may include the evaluation of course materials, student evaluations, course portfolios, teaching portfolios, documented teaching philosophies, teacher self-assessments, classroom observations, and other activities that may be appropriate to a discipline.
2. **Informed Use of Course and Instructor Evaluations**  
Results from Course and Instructor Evaluations (CIE) should be used *only* as they were designed to be used. Evaluators should review both narrative and numeric results available in CIE reports. The level to which comments indicate a legitimate concern about a candidate can be confirmed or contradicted by the numeric data. Likewise, numeric data often depends on narrative responses to provide clarification and aid in interpretation. If evaluators ignore either narrative or numeric results, they risk making faulty decisions about the candidate.

Ultimately, it is up to candidates to make their case for tenure and promotion. Because CIE results are used in the evaluation process, candidates should take an active role in framing their results, and providing a context that aids interpretation. To effectively achieve this goal, candidates must educate themselves on how to interpret and present their CIE results.



## **Formative Evaluation of Teaching**

The purpose of formative evaluation is to help faculty improve teaching, and to facilitate a campus-wide dialogue about pedagogy. In order to be effective, formative evaluations should be optional and confidential. When confidentiality is assured, teachers are far more likely to disclose areas needing development, and evaluators may be frank without fear it will have negative consequences for the faculty member in tenure and promotion decisions.

A comprehensive formative evaluation could include:

1. Visits to classrooms by experienced Rollins faculty to assess teaching in a way that will best help the faculty being evaluated. Three peer reviewers will conduct formative evaluations in a program developed by the CAJ Institute for Effective Teaching to evaluate the candidate. In keeping with best practices, one of these peer reviewers may be a student who has completed the CAJ training.
2. In addition to peer review, there are a variety of other formative evaluation techniques. For example, the faculty member could choose to:
  - a. Visit peers' classes
  - b. Participate in internal and external faculty development seminars
  - c. Produce a teaching portfolio that would include reflection on student evaluations
  - d. Explore general best teaching practices
  - e. Explore discipline-specific best teaching practices
  - f. Investigate the relevant research literature on student learning

## **PROFESSIONAL DEVELOPMENT**

Full-time faculty in the Arts and Sciences and College of Professional Studies are eligible to apply for grants for professional development. Recipients of grants will submit a report on their accomplishments to the appropriate Dean by the first day of the spring term following a summer grant or no later than four months after the fall or spring semester in which a grant is received.

## **SPECIAL AWARDS**

*The Hugh and Jeannette McKean Grant* was inaugurated in the 1982-1983 academic year, as a gift of Hugh McKean, the tenth President of Rollins College. This grant is a \$15,000 cash award to enable a faculty member to undertake a research project, an artistic work, or a teaching project that will contribute to the educational mission of the College. The Provost (or his/her designee) issues a call for proposals in the fall, with a submission deadline to the Office of the Provost, generally in early February. Only tenured or tenure-track faculty are eligible to apply. Past McKean Grant recipients are ineligible.

Recipients of the award are selected by an external jury of Rollins alumni who are prominent in academe. The following criteria are used to evaluate faculty proposals:

1. Potential contribution of proposed project to scholarship, teaching, or the creative arts. Importance, originality, and cogency of the proposal.
2. Apparent ability of the candidate to carry the project to completion. Where appropriate, candidate's expressed knowledge of previous work in the field.
3. Likelihood that the completed project would benefit the faculty member and the Rollins community.
4. Evidence that the McKean Grant would facilitate a project that otherwise might not be possible.

***The Bornstein Award for Faculty Scholarship*** recognizes Rollins faculty whose outstanding scholarly achievement or creative accomplishment has helped bring national prominence to Rollins College. The Award also helps to encourage future scholarship among Rollins faculty.

Established by the Rollins College Board of Trustees in 2003, and named in honor of Rollins' thirteenth president, the Award honors Rita Bornstein's leadership and contribution to the academic vitality of the College. The awardee receives a \$10,000 cash stipend to be used at his/her discretion.

Only tenured and tenure-track Rollins faculty members who will not be on leave or on sabbatical during the following academic year are eligible for the Bornstein Award for Faculty Scholarship. Candidates are selected based on outstanding scholarly achievement or creative accomplishment that has helped bring national prominence to Rollins College. Special consideration may be given to a faculty member's cumulative scholarship. Past recipients of the Bornstein Award for Faculty Scholarship are ineligible for the same award in the future.

The Provost (or his/her designee) requests nominations from the deans and the Director of the Olin Library. After providing the opportunity to review the nominations, the Provost (or his/her designee) convenes a meeting of these individuals and up to four of the most recent past recipients of the Bornstein Award to discuss the nominations submitted and to select the Bornstein Faculty Scholar.

The Provost announces the Bornstein Faculty Scholar at Commencement.

***The Cornell Distinguished Teaching Award*** recognizes Rollins faculty who have distinguished themselves and the College through outstanding teaching. The Award was established by the Board of Trustees of Rollins College in 2004 in honor of Rollins' beloved alumnus and longtime trustee George Cornell, whose generous bequest made the award possible. The awardee receives a \$10,000 cash stipend to be used at his/her discretion.

Only tenured and tenure-track Rollins faculty members are eligible for the Cornell Distinguished Teaching Award. Candidates are selected based on outstanding teaching, with special consideration given to a faculty member's cumulative record of teaching excellence. This may include demonstration of high competence in the teaching field and the ability to convey knowledge of this field to students; to organize and teach coherent, enlightening, and dynamic courses; to communicate effectively with students; to stimulate student thought and challenge student assumptions; to use appropriate and innovative teaching methods and techniques; to establish high standards and demanding, yet realistic, expectations; to foster student learning and achievement; and to inspire students to become lifelong learners. Past recipients of the Cornell Distinguished Teaching Award are ineligible for the same award in the future.

The Provost (or his/her designee) requests nominations from the deans and from the Director of the Olin Library. After providing the opportunity to review the nominations, the Provost (or his/her designee) convenes a meeting of these individuals and up to four of the most recent past recipients of the Cornell Distinguished Teaching Award to discuss the nominations submitted and to select the Cornell Distinguished Teaching Award recipient. The Provost announces the Cornell Distinguished Teaching Award recipient at Commencement.

***The Cornell Distinguished Service Award*** recognizes Rollins faculty who have distinguished themselves and the College through outstanding service. The Award was established by the Board of Trustees of Rollins College in 2004 in honor of Rollins' beloved alumnus and longtime trustee George Cornell. The awardee receives a \$10,000 cash stipend to be used at his/her discretion.

Only tenured and tenure-track Rollins faculty members are eligible for the Cornell Distinguished Service Award. Candidates are selected based on outstanding service, with special consideration given to a faculty member's cumulative record of service. This may include effective participation in the intellectual life of the College; availability and receptivity to students' academic and advising needs beyond the classroom; support of student activities and events; extent and quality of service in College governance; chairing committees and performing special services for the College; contribution to professional, collegial, and effective dialogue within and across College programs; holding official positions in professional associations or chairing meetings; the impact of leadership in civic organizations; and personal and student service in local and global communities. Past recipients of the Cornell Distinguished Service Award are ineligible for the same award in the future.

The Provost (or his/her designee) requests nominations from the deans and the Director of the Olin Library. After providing the opportunity to review the nominations, the Provost (or his/her designee) convenes a meeting of these individuals and up to four of the most recent past recipients of the Cornell Distinguished Service Award to discuss the nominations submitted to select the Cornell Distinguished Service Award recipient. The Provost announces the Cornell Distinguished Service Award recipient at Commencement.

### ***The Cornell Distinguished Faculty Award***

*Description:* Each year Rollins bestows up to three Cornell Distinguished Faculty awards to recognize exceptional professional accomplishments in at least two of the faculty's three primary emphases of teaching, research, and service. Because teaching is the first priority at Rollins, it is expected that all awardees will have established a record of excellence in instruction. With the exception of holders of endowed chairs, all tenured and tenure-track faculty in Arts & Sciences and the College of Professional Studies are eligible for consideration.

Recipients hold the title of Cornell Distinguished Faculty for three academic years. In each year of the award, the Cornell Distinguished Faculty member receives \$5000, which can be added to salary as a stipend, established as a research account, or exchanged in \$2500 increments for course release (no more than one course per semester). Cornell Distinguished Faculty may also roll over funds from year to year, perhaps to supplement travel, a special project, or a sabbatical leave. At the end of the three years, \$2500 is added to the base salary of each Cornell Distinguished Faculty member. One can receive a Cornell Distinguished Faculty award no more than twice, only once during a ten-year period, and no more than once in any rank (at the time of the appointment of the award).

At the end of each academic year, awardees submit reports to their appropriate dean or director in which they summarize their activities in teaching, scholarship, and service. In the third year in which they hold the Cornell Distinguished Faculty award, recipients give public presentations in which they report on a recent, distinctive accomplishment.

*Selection Process:* The selection committee for these awards—proposed by the deans of Arts & Sciences and College of Professional Studies for approval or amendment by the Professional Standards Committee—is made up of five faculty members and an alternate. The alternate member participates in the committee's deliberations when one of the regular members is not available to serve. The committee includes holders of endowed chairs, current Cornell Distinguished Faculty, or former Cornell Distinguished Faculty who are not eligible for the award. Each member serves for two years, with half the committee rotating off each year. To the degree possible, the membership of the selection committee reflects the diversity of the faculty.

By September 1 each fall, the selection committee distributes a call for nominations for the Cornell Distinguished Faculty awards. All individuals with faculty rank in the Arts & Sciences and College of Professional Studies are eligible to submit nominations of their colleagues. A nomination consists of a letter setting forth the achievements of an individual who is worthy of recognition. By October 1, all nominees are notified and invited to submit to the selection committee, by November 1, a c.v. and statements in which they summarize their achievements in the areas of teaching, scholarship, and service. They may also submit supporting documents such as recent FSARs; course evaluations and other evidence of excellent teaching; syllabuses and other instructional material; copies of publications, presentations, or performances; and accounts of service to the campus and the community. Nominees may also request up to two letters of support from professional colleagues at Rollins particularly in the areas of scholarship,

creative accomplishments, or service outside the campus community. The selection committee makes its recommendations on the basis of the nominations, the nominees' responses, and materials available in the office of the appropriate dean or director; the committee may also consult with the appropriate dean or director.

The selection committee forwards its recommendations to the Provost for presentation to the President in time for an announcement at the final faculty meeting of fall term or the first meeting of spring term.

***Arthur Vining Davis Fellowships*** (three) are awarded to faculty each year at Commencement. Selection is determined according to the following process: Students and faculty are asked to nominate one or more faculty members who have made significant contributions by virtue of "outstanding teaching, scholarly work and publications, completion of significant research projects, accomplishments in the fine arts, important contributions to the educational goals of the College or outstanding contributions to the cultural, economic, and social community of Central Florida." Self-nomination is discouraged. Valid nominations must be accompanied by a narrative explaining the reasons for the nomination of the faculty member.

The final selection committee is convened by the Provost (or his/her designee) and includes the outgoing President of the Student Government Association, the three current Fellows, and the three most recent Fellows before that. At least four faculty Fellows must be on the selection committee. Faculty receiving the award within the past five years are ineligible. The Fellowship carries a stipend of \$3,000.

## **SPONSORED RESEARCH**

Guidelines for sponsored research have been prepared to assist faculty and staff members in applying for and managing externally funded research and other sponsored projects (see "Procedures for Monitoring Grant Proposals and Managing Grant-Funded Accounts for Rollins College" in the All Faculty Policies & Procedures section of this *Handbook*). Faculty and staff members should be aware that funds accepted by the College become the responsibility of the College. The College is accountable for and liable for any errors or omissions. Therefore, the policies and procedures described in the College *Handbook* are applicable to all requests for grants and subsequent grant funds administered by the College.

## **TEACHING LOAD/EMPLOYMENT**

Standard appointment to a position in the faculty is considered full-time employment, and all faculty members are expected to give full attention to their faculty responsibilities. Overload teaching that serves the College and/or outside work/employment that contributes to professional life and development may be permitted unless it detracts from the normal faculty duties. It is expected that overload teaching be limited to one course per term and that outside work/employment be restricted to the equivalent of one day per

week. Faculty should not regularly engage in both overload teaching and outside work/employment. Any overload teaching at Rollins or elsewhere, or any other outside work/employment, must be approved by the appropriate Dean.

### **Faculty Teaching Load**

The faculty teaching load is calculated using the procedure below. All teaching in any Rollins program, including Arts and Sciences and the College of Professional Studies, graduate programs, Holt, and Crummer (with the exception of independent studies, which will be compensated on an individual basis), is included in this load accounting system. Departments are permitted to establish alternative accounting policies, which must be approved by the Professional Standards Committee.

1. List all courses in descending order of enrollment.
2. Determine the semester hour (SH) and weighted semester hour (WSH) value of each course. The semester hour (SH) value of a course is the semester hour value as published in the schedule of classes at the time the course is taught. The WSH value is the SH value multiplied by the number of students enrolled at the end of the drop-add period, divided by 25. The maximum number of students in a single class, for purposes of calculating the WSH, is 50 and any enrollment above 50 will be counted as 50 in the calculation.
3. Assign the larger of the SH or WSH values to each course.
4. Sum the teaching load values (as determined in Step 3) of each course using the course sequence determined in Step 1. If the sum exceeds the contract load, the overload value is determined by the procedure below.

### **Overload Determination**

The overload value of all courses above the contract load is counted as in step 3 (above) if the enrollments are at least five (5) students. If the enrollment in a course is less than five (5) students, the overload value is determined by multiplying the number of students by one-fifth (.20) of the SH value. The sum of the overload values of all courses above the contract load is the overload value.

Field studies tied to specific semester-long courses required by a major should be permitted to be taught on-load. The associated load for these courses would then be applied as follows.

- Field courses taught in the winter intercession will have the load applied in that academic year.
- Field courses taught May-August will have the load applied in the following academic year.

### Faculty Compensation for Field Study Courses and Short-Term Abroad

<b>Field Study Courses – Faculty as Program Administrator &amp; Course Instructor</b>	8-12 students	13-24 students (requires 2 faculty members)
1 credit	\$2500	\$5000 (\$2500 ea.)
2 credits	\$3000	\$6000 (\$3000 ea.)
4 credits <i>and</i> 4-credit Maymester course with embedded field study	\$4000	\$8000 (\$4000 ea.)
<b>No-Credit International Field Study – Faculty as Program Administrator</b>	8-12 students	13-24 students (requires 2 faculty members)
5 to 21 days: Flat starting rate of \$1500 for five days, plus \$100 per day for additional days	\$1500 plus \$100 per day over 5 day minimum	\$3000 plus \$100 per day (\$1500 ea.)
<b>Short-Term Study Abroad – Faculty as Program Administrator</b>		8-30 Students
4 credits		\$3000
8 credits		\$4500

### Interim Policy on Independent Study Reimbursement:

In undergraduate programs, individual faculty members will be reimbursed at a rate of \$250 per independent study above and beyond four (4) independent studies per year. Individual faculty members shall not supervise more than eight (8) independent studies per year unless exceptional circumstances prevail and the exceptions are approved by the appropriate departmental chair and Dean. Independent studies do not affect load or overload. (*Professional Standards Committee; April 26, 1994.*)

### Overload Compensation

Overload courses contracted in the graduate programs of Holt and Crummer (i.e., those not designated as part of the regular faculty teaching load) are counted separately for overload compensation. Otherwise, the overload compensation is determined by rounding the overload value down to the nearest semester hour. A maximum of six (6) semester hours of overload per term (including courses in the graduate programs of Holt and Crummer) will be compensated.

With the approval of the appropriate Dean, the normal 24 semester hour teaching load may be reduced, provided the department concerned is properly staffed. Such consideration will be given to a faculty member for:

1. special activities such as theatrical, musical, or athletic presentations; or
2. approved research or administrative work important to the academic welfare of the College; and serving as an officer of the faculty.

### **Adjunct and Overload Pay**

Adjunct faculty may be appointed to teach a maximum of two (2) courses per term. This two-course limit applies whether courses are offered in Arts & Sciences, College of Professional Studies, Crummer, Holt, or any combination of these programs. Adjunct faculty will normally be limited to a maximum of five (5) courses per academic year (September 1 through August 31). Exceptions to this policy may be recommended by the appropriate Dean(s) and must be approved by the Provost. In no case will the number of courses in the aggregate be considered to constitute full-time, rather than adjunct, employment or to count toward eligibility for tenure.

The adjunct and overload pay rates, per standard course, as of January 4, 2012, are given below. Note that a standard course in Arts and Sciences, College of Professional Studies, and Holt is four (4) semester hours. Courses with a different semester hour value, such as lab courses, are paid on a pro-rata basis.

#### Adjunct

Experience at Rollins:	0-6 <u>Terms</u>	7 or more <u>Terms</u>
BA/BS	\$2,000	\$2,300
MA/MS	\$2,250	\$2,500
A.B.D./MFA/MBA	\$2,500	\$2,750
Ph.D/Ed.D/DFA	\$2,750	\$3,000

#### Overload (for full-time and emeriti faculty)

Instructor/Lecturer	\$2,750
Assistant Professor	\$3,000
Associate professor	\$3,250
Professor	\$3,500

In exceptional circumstances, the Dean of a particular academic program may take into account market conditions or other considerations (*e.g.*, outstanding teaching performance over a period of time, national reputation in the field) and award a discretionary amount per course.

### **STRATEGIC FACULTY COMPENSATION IMPLEMENTATION PROTOCOL**

The amount of money to be distributed as merit pay in any given year will be determined by the Planning and Budget Committee and the method of distribution will be determined by each college.



## **Arts and Sciences**

The Executive Committee of Arts and Sciences will determine the formula by which the available money for merit pay will be distributed in any given year. The selection of faculty members who will receive merit pay is overseen by the Merit Pay subcommittee of the Finance and Services Committee (FSC). The five-member elected committee represents tenured faculty from each division of the Arts and Sciences and one at large member. Members will hold two-year terms and these terms will overlap such that the Merit Pay Committee will always include at least two experienced members.

The individual assessment of faculty professional performance for merit purposes begins with the faculty member assessing his/her own performance. The Faculty Self-Assessment Report (FSAR) provides the opportunity for a faculty member to demonstrate how his/her practices and activities meet professional expectations. In addition to the FSAR, at the end of each academic year, the FSC will send the Merit Pay Application form to all faculty who are eligible for merit pay. This includes all tenured and tenure-track faculty in Arts and Sciences. These application forms must be submitted along with the faculty members' FSARs to the Dean of A&S office by August 15<sup>th</sup>.

Each fall, the FSC will convene a meeting of the Merit Pay subcommittee to review FSARs and the Merit Pay application forms. Faculty who meet expectations in two of the three categories (teaching, professional work, and service) will be awarded merit pay. Faculty having been awarded tenure and/or promotion within the past year will not be reevaluated but will automatically receive a designation of merit for that academic year. There will be only two classifications, Deserving of Merit or Not Deserving of Merit.

The Chair of the Finance and Services Committee will forward a list of faculty deserving merit and those not deserving merit to the Dean of Arts and Sciences. Faculty who are deemed not to meet expectations for merit pay may submit an appeal to the elected appeals committee and/or meet with the Dean to discuss appropriate professional development opportunities.

### **Strategic Faculty Compensation Appeals Process in the College of Arts and Sciences**

***Membership of Merit Pay Appeals Subcommittee:*** The faculty salary appeals will be evaluated by a subcommittee of the Professional Standards Committee (PSC). Membership shall consist of four full professors from the A&S faculty. If the PSC does not have a sufficient number of full professors, the faculty will elect subcommittee members from candidates nominated by the Executive Committee. This subcommittee cannot include any members of the FSC. The Merit Pay Appeals subcommittee should have a gender balance and should represent all four divisions of A&S. The subcommittee members will serve a two-year term.

***Appeal Procedures:*** Faculty members will have 14 days after the start of the semester following receipt of his/her salary letter to submit a written request for a re-evaluation. The faculty member submitting an appeal can select three of the Merit Pay Appeals subcommittee members to hear his/her case. One of the three will represent the division of the appealing faculty member. The faculty member deserves an expeditious handling

of his/her case. The appeals sub-committee must respond to the faculty member within 14 days after receipt of the re-evaluation request. Any adjustments to the faculty member's salary as a result of the appeal process will be made at the same time as other merit adjustments. If warranted, retroactive salary will be provided.

### **College of Professional Studies**

The Executive Committee, made up of the President of the Faculty and the Chair of each Department in CPS, determine how the available money for merit pay will be distributed in any given year. The Dean of the College of Professional Studies created the formula for the distribution of merit pay following close alignment with the promotion criteria of the departments in CPS. The Executive Committee confirmed the process and the Dean shared the process with the faculty.

***Merit Pay Process.*** The individual assessment of faculty professional performance for merit purposes begins with the faculty member's assessing his/her own performance via the Faculty Self-Assessment Report (FSAR), typically due the day prior to the start of the new academic year. This document provides the faculty member the ability to reflect on the past year's accomplishments and demonstrate how his/her practices and activities meet professional expectations.

Each fall, the Dean of CPS reads through all faculty members FSAR reports and tracks number and types of scholarly publications (peer reviewed journal articles, chapters in edited books, books, etc.) and types of service the faculty have provided their department, institution, and community. In addition to a thorough review of the FSAR, at the end of each academic semester, the Dean reviews Course and Instructor Evaluations (CIE) and tracks those faculty members who have courses in the top 10% and bottom 10%. The Dean expects faculty members to be meritorious and holds the awarding of high merit to no more than 10-15% of the faculty members in CPS. Each category of teaching, scholarship, and service is awarded a score between 0-3 based on the above criteria. If a faculty member receives a 0 or 1 in two of the three categories, he or she does not meet the criteria for merit. If a faculty member receives a 3 in two of the three categories, he or she receives a high merit award. A score of 2 in an area is considered meritorious and a faculty member earning a rating of 2 in two areas receives the merit award. The Dean sends a list to the College's Director of Institutional Research on behalf of the Provost's office, who composes the letters of merit sent to faculty.

### **TENURE - STATEMENT OF POLICY ON GRANTING OF**

Tenure is a prerogative of the Board of Trustees. Tenure is the right to regular annual reappointment subject to the conditions of employment and assignments in effect each year. Tenure may be granted to faculty within the guidelines and time limits stated in the appropriate publications of the American Association of University Professors (AAUP), and according to the College *Bylaws*.

## **TRAVEL POLICY**

A&S/CPS faculty members are eligible for institutional support to further their professional development by attending professional meetings. Intent to travel forms must be submitted to the appropriate Dean at stipulated semester deadlines. Faculty who attend only one meeting during the year without participating will receive 80% of travel and living expenses. Faculty who participate in at least one meeting during the year will receive 100% of travel and living expenses for one meeting, and 80% of travel and living expenses for the other meeting.

“Participation” in the above guidelines is defined as: presenting a paper or performance, responding to a paper or speaker, serving on a panel, serving as an officer of the professional association (including program or membership chairperson), and presenting a formal report. Evidence of participation shall be the submission of a copy of the relevant section of printed program to the appropriate administrator.

*Rev. 9-29-11*

*Rev. 10-4-11*

*Rev. 11-30-11*

*Rev. 1-19-12*

*Rev 8-1-14*